JCWSCS 0 2 JUL 2004

" Jul 01 2004 5:43PM

1.703.991.7074 E COPY

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Redu	uction Act of 1995, no person	U.S. Paten is are required to respond to a collection	n of information	unless it dis	DEPARTMENT OF COMMERCE plays a valid OMB control number.					
		Application Number	10/826,371							
TRANS	MITTAL	Filing Date	Apr 19, 2004	tpr 19, 2004						
FO	RM	First Named Inventor	BROWN, David R.							
(to be used for all correspondence after initial filing)		Art Unit	2872							
		Examiner Name	AMARI, A. V.							
Total Number of Pages in This Submission 6		Attorney Docket Number	MEMS-0131	·						
ENCLOSURES (check all that apply)										
Fee Transmittal		Drawing(s)	Drewlag(s) After Allow		Viowance communication					
Fee Attached		Licensing-related Papers	[to Group Appeal Communication to Board of Appeals and Interferences						
x Preliminary Amendment / Reply		Petition		Appeal Communication to Group (Appeal Notice, Brief, Repty Brief)						
After Final		Petition to Convert to a Provisional Application		Proprietary Information						
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter						
Extension of Time Request		Terminal Disclaimer			Enclosure(s) (please fy below):					
Express Abandonment Request		Request for Refund	Co	y of OFF	₹ 28 June 2004					
Information Disclosure Statement		CD, Number of CD(s)								
		marks								
Response to Missing Parts/ Incomplete Application			•							
Response to Missing Parts under 37 CFR 1.52 or 1.53										
	SIGNATUR	RE OF APPLICANT, ATTOR	NEY, OR AG	ENT						
Firm Cus	tomer Number 40575									
Individual name	k E. Olds, Reg. No. 46,5	07								
Signature Kang En		a_								
Date July	1, 2004									
	CERT	IFICATE OF TRANSMISSIO	N/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.P. Box 1450, Alexandria, VA 22313-1450 on the date shown below.										
Type or printed name Mark E. Olds										
Signature		-de		Date	July 1, 2004					

This collection of information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In you need assistance in completing the form, cell 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David R. BROWN

Application No.: 10/826,371

Filed: 19 Apr 2004

Title: OFF-AXIS DIFFRACTIVE BEAM SHAPERS AND SPLITTERS FOR REDUCING SENSITIVITY TO

Attorney Docket No.: MEMS-0131-D1

MANUFACTURING TOLERANCES

Art Unit:

2872

Examiner:

AMARI, A. V.

REQUEST FOR CORRECTION

Office of Initial Patent Examination's Customer Service Center Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Petitioner hereby requests a correction in the Filing Receipt of the above-referenced patent application. Enclosed is a copy of the Filing Receipt with the changes noted thereon.

Additionally, enclosed is a preliminary amendment correcting a typographical error and updating the status of the parent application.

Respectfully submitted,

7/1/04 Date

Mark E. Olds Reg. No. 46,507

Attorney for Applicant

and 5. Ofe

Mark E. Olds KCO Law, PLLC PO Box 1817 Alexandria, VA 22313 1.703.740.8322

Page 1 of 2



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. Dos 1430 Abandra, Vagnia 2013-1430

APPL NO.	FILING OR 371 (c) DATE	ARTUNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	
10/826,371	04/19/2004	2872	385	MEMS-0131-D1	9	11	2	ł

CONFIRMATION NO. 9737

40575 KCO LAW P.L.L.C. P. O. 80X 220472 CHANTILLY, VA 20153-0472

Date Mailed: 06/28/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling Receipt Corrections, facsimile number 703-748-9195. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Renick Brown, Meridianville, AL:

Domestic Priority data as claimed by applicant 09/594, 771 06/16/2000

This application is a DIV of 09/574,711 05/46/2000 PAT 6,428,468 6,731, 432

(*) Data provided by applicant is not consistent with PTO records.

Foreign Applications

if Required, Foreign Filing License Granted: 06/25/2004

Projected Publication Date: 10/07/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

RECEIVED
JUN 2 9 2004

BY:

Title

Off-axis diffractive beam shapers and splitters for reducing sensitivity to manufacturing tolerances

Preliminary Class